



## Inspection Report

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WESTERN UNIVERSITY OF HEALTH SCIENCES

Customer ID: **15322**

Certificate: **93-R-0464**

Site: 001

HEALTH PROFESSIONS CENTER

309 EAST SECOND STREET

Type: ROUTINE INSPECTION

POMONA, CA 91766

Date: Mar-10-2010

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No non compliant items identified this inspection.

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**Prepared By:**

ALEXANDRA ANDRICOS, D.V.M. USDA, APHIS, Animal Care

**Date:**

**Title:** VETERINARY MEDICAL OFFICER Inspector 5038

Mar-14-2010

**Received By:**

(b)(6), (b)(7)(c)

**Date:**

**Title:**

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## Inspection Report

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309 EAST SECOND STREET

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POMONA, CA 91766

Date: Dec-08-2009

**2.31** (d) (1) (vii)

### INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(d) IACUC review of activities involving animals. (1) In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; Provided, however, That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements:

(vii) Medical care for animals will be available and provided as necessary by a qualified veterinarian.

\*\*\*Medical care by a qualified veterinarian was not available to four guinea pigs that died following surgical procedures conducted August 8, 2008 to December 8, 2009. The facility does not have an attending veterinarian on site, and at time of the incidents, established notification procedures were not followed. According to the animal care staff, including the attending veterinarian of the facility, they were never notified the animals became ill after the procedures, that they were treated, or that they had died. There was no veterinary oversight in the treatment of the sick animals. The guinea pigs were cared for by the students working on the protocol at the time of the incidents. The students were directed by the Primary Investigator of the research project to treat the animals. There were no medical records, including treatment or surgical records available for these four animals or for any other guinea pigs at the facility. The facility has a Post-op/ Animal Recovery form but these forms have not been filled out since June 2006. Direct and regular communication between the research and animal care staffs is needed to ensure the attending veterinarian is being informed, in a timely manner, of any problems related to the care and use of guinea pigs at the facility. Medical care for animals must be available and provided as necessary by a qualified veterinarian.\*\*\*

To be corrected immediately

**2.31** (c) (6)

### INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

Sec. 2.31 Institutional Animal Care and Use Committee (IACUC)

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(c) IACUC functions. With respect to activities involving animals, the IACUC, as an agent of the research facility, shall:(6) Review and approve, require modifications in (to secure approval), or withhold approval of those components of proposed activities related to the care and use of animals, as specified in paragraph (d) of this section \*\*\*There are no medical records available that indicate treatments conducted on four guinea pigs that died following surgical procedures August 8, 2008 to December 8,2009 were reviewed and approved by the IACUC of the facility prior to their implementation. Without this documentation,we cannot be certain the IACUC is aware of activities related to the care and use of the guinea pigs at the research facility.The IACUC, as an agent of the research facility, shall review and approve, require modifications in(to secure approval), or withhold approval of those components of proposed activities related to the care and use of animals. \*\*\* To be corrected immediately

### 2.32

#### PERSONNEL QUALIFICATIONS.

Sec. 2.32 Personnel qualifications.

(a) It shall be the responsibility of the research facility to ensure that all scientists, research technicians, animal technicians, and other personnel involved in animal care, treatment, and use are qualified to perform their duties. This responsibility shall be fulfilled in part through the provision of training and instruction to those personnel.

(b) Training and instruction shall be made available, and the qualifications of personnel reviewed, with sufficient frequency to fulfill the research facility's responsibilities under this section and Sec. 2.31.

(c) Training and instruction of personnel must include guidance in at least the following areas:

(1) Humane methods of animal maintenance and experimentation, including:

(i) The basic needs of each species of animal; (ii) Proper handling and care for the various species of animals used by the facility; (iii) Proper pre-procedural and post-procedural care of animals; and (iv) Aseptic surgical methods and procedures;

(2) The concept, availability, and use of research or testing methods that limit the use of animals or minimize animal distress;

(3) Proper use of anesthetics, analgesics, and tranquilizers for any species of animals used by the facility;

e(4) Methods whereby deficiencies in animal care and treatment are reported, including deficiencies in animal care and treatment reported by any employee of the facility. No facility employee, Committee member, or laboratory personnel shall be discriminated against or be subject to any reprisal for reporting violations of any regulation or standards under the Act;

(5) Utilization of services (e.g., National Agricultural Library, National Library of Medicine) available to provide information:

(i) On appropriate methods of animal care and use;

(ii) On alternatives to the use of live animals in research;

(iii) That could prevent unintended and unnecessary duplication of research involving animals; and

(iv) Regarding the intent and requirements of the Act.

\*\*\*Training records for personnel performing surgical procedures on the guinea pigs were not available at time of inspection. Without this documentation we cannot be certain they are qualified to perform their

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duties. It shall be the responsibility of the research facility to ensure that all scientists, research technicians, animal technicians, and other personnel involved in animal care, treatment, and use are qualified to perform their duties. This responsibility shall be fulfilled in part through the provision of training and instruction to those personnel.\*\*\*  
To be corrected immediately

### 2.33 (b)

#### ATTENDING VETERINARIAN AND ADEQUATE VETERINARY CARE.

(b) Each research facility shall establish and maintain programs of adequate veterinary care that include:

- (1) The availability of appropriate facilities, personnel, equipment, and services to comply with the provisions of this subchapter;
- (2) The use of appropriate methods to prevent, control, diagnose, and treat diseases and injuries, and the availability of emergency, weekend, and holiday care;
- (3) Daily observation of all animals to assess their health and well-being; Provided, however, That daily observation of animals may be accomplished by someone other than the attending veterinarian; and Provided, further, That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian;
- (4) Guidance to principal investigators and other personnel involved in the care and use of animals regarding handling, immobilization, anesthesia, analgesia, tranquilization, and euthanasia; and
- (5) Adequate pre-procedural and post-procedural care in accordance with current established veterinary medical and nursing procedures.

\*\*\*Adequate veterinary care was not available to four guinea pigs that died following surgical procedures conducted August 8, 2008 to December 8, 2009. According to the animal care staff, including the attending veterinarian of the facility, they were never notified the animals became ill after the procedures, that they were treated, or that they had died. There was no veterinary oversight in the treatment of the sick animals. The guinea pigs were cared for by the students working on the protocol at the time of the incidents. The students were directed by the Primary Investigator of the research project to treat the animals. There were no medical records, including treatment or surgical records available for these four animals or for any other guinea pigs at the facility. The facility has a Post-op/ Animal Recovery form but these forms have not been filled out since June 2006. Direct and regular communication between the research and animal care staffs is needed to ensure the attending veterinarian is being informed, in a timely manner, of any problems related to the care and use of guinea pigs at the facility. The research facility must establish and maintain programs of adequate veterinary care \*\*\*

To be corrected immediately

### 2.33 (a) (2)

#### ATTENDING VETERINARIAN AND ADEQUATE VETERINARY CARE.

Sec. 2.33 Attending veterinarian and adequate veterinary care.

(a) Each research facility shall have an attending veterinarian who shall provide adequate veterinary care to its animals in compliance with this section:

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(2) Each research facility shall assure that the attending veterinarian has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

\*\*\*There have been four guinea pig deaths following surgical procedures since August 8, 2008. According to the animal care staff, including the attending veterinarian of the facility, they were never notified the guinea pigs became ill after the procedures, that they were treated, or that they had died. The facility does not have an attending veterinarian on site, and at time of the incidents, established notification procedures were not followed. Direct and regular communication between the research and animal care staffs is needed to ensure the attending veterinarian is being informed, in a timely manner, of any problems related to the care and use of guinea pigs at the facility. Each research facility shall assure that the attending veterinarian has appropriate authority to ensure the provision of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.\*\*\*

To be corrected immediately

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WESTERN UNIVERSITY OF HEALTH SCIENCES

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**Date:**

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HEALTH PROFESSIONS CENTER

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