



Inspection Report

UNIVERSITY OF WISCONSIN-PARKSIDE

Customer ID: **613**

Certificate: **35-R-0121**

Site: 001

UW-PARKSIDE CAMPUS

DEPARTMENT OF BIOLOGICAL SCIENCES

P. O. BOX 2000

KENOSHA, WI 53141

Type: **ATTEMPTED INSPECTION**

Date: **May-13-2010**

2.38 (b) REPEAT

MISCELLANEOUS.

Section 2.38(b) - Access and inspection of records and property: Each research facility shall, during business hours, allow APHIS officials: (1) To enter its place of business.

A facility representative was not available to allow access at 11:45 a.m. on 05/13/2010.

On May 13, 2010 at approximately 11:45 a.m., an unsuccessful attempt was made to conduct an inspection. The inspector knocked on the door at the facility, however, there was no response. The inspector called the number posted on the door to the facility. A student answered who was unaware of when the responsible individual would be available. The licensee should contact the inspector to indicate the hours of availability in order to facilitate an inspection.

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

May-13-2010

Received By:

(b)(6), (b)(7)(c)

Date:

Title:

May-13-2010



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Customer ID: **613**

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Site: 001

UW-PARKSIDE CAMPUS

DEPARTMENT OF BIOLOGICAL SCIENCES

900 WOOD ROAD

P. O. BOX 2000

KENOSHA, WI 53141

Type: ATTEMPTED INSPECTION

Date: Mar-22-2010

2.126 (a)

ACCESS AND INSPECTION OF RECORDS AND PROPERTY.

(a) Each dealer, exhibitor, intermediate handler, or carrier, shall, during business hours, allow APHIS officials:

- (1) To enter its place of business;
- (2) To examine records required to be kept by the Act and the regulations in this part;
- (3) To make copies of the records;
- (4) To inspect and photograph the facilities, property and animals, as the APHIS officials consider necessary to enforce the provisions of the Act, the regulations and the standards in this subchapter; and
- (5) To document, by the taking of photographs and other means, conditions and areas of noncompliance.....

**On March 22, 2010 at approximately 11:00 a.m., an unsuccessful attempt was made to conduct an inspection. The inspector knocked on several doors at the facility, however, there was no response. The inspector left a message at the contact number listed on the doors to the facility. The licensee should contact the inspector to indicate the hours of availability in order to facilitate an inspection.

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

Mar-23-2010

Received By:

(b)(6), (b)(7)(c)

Date:

Title:

Mar-23-2010



Inspection Report

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Certificate: **35-R-0121**

Site: 001

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Type: **ATTEMPTED INSPECTION**

P. O. BOX 2000

Date: **Mar-22-2010**

KENOSHA, WI 53141

2.38 (b)

MISCELLANEOUS.

(b) Access and inspection of records and property. (1) Each research facility shall, during business hours, allow APHIS officials:

- (i) To enter its place of business;
- (ii) To examine records required to be kept by the Act and the regulations in this part;
- (iii) To make copies of the records;
- (iv) To inspect the facilities, property, and animals, as the APHIS officials consider necessary to enforce the provisions of the Act, the regulations, and the standards in this subchapter; and
- (v) To document, by the taking of photographs and other means, conditions and areas of noncompliance.....

**On March 22, 2010 at approximately 11:00 a.m., an unsuccessful attempt was made to conduct an inspection. The inspector knocked on several doors at the facility, however, there was no response. The inspector left a message at the contact number listed on the doors to the facility. The licensee should contact the inspector to indicate the hours of availability in order to facilitate an inspection.

THIS IS AN AMENDED REPORT FOR INSPECTION #82101347300733 DATED MARCH 22, 2010.

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

Apr-05-2010

Received By:

(b)(6), (b)(7)(c)

Date:

Title:

Apr-05-2010



Inspection Report

UNIVERSITY OF WISCONSIN-PARKSIDE

Customer ID: **613**

Certificate: **35-R-0121**

Site: 001

UW-PARKSIDE CAMPUS

DEPARTMENT OF BIOLOGICAL SCIENCES

P. O. BOX 2000

KENOSHA, WI 53141

Type: ROUTINE INSPECTION

Date: Nov-17-2009

2.31 (d) (1) (ii) **REPEAT**

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(d) IACUC review of activities involving animals. (1) In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; Provided, however, That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements:

.....(ii) The principal investigator has considered alternatives to procedures that may cause more than momentary or slight pain or distress to the animals, and has provided a written narrative description of the methods and sources, e. g., the Animal Welfare Information Center, used to determine that alternatives were not available;.....

****Although information regarding possible alternate methods was made available during the inspection, there was no reference in the updated version of Protocol BF2008-203 to reflect that an alternative search had been conducted. It is the responsibility of the IACUC to ensure that the principal investigator has considered alternatives to procedures that may cause more than momentary pain and/or distress to the animal as set forth in this section.**

2.31 (d) (1) (xi) **REPEAT**

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(d) IACUC review of activities involving animals. (1) In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; Provided, however, That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements:.....

.....(xi) Methods of euthanasia used must be in accordance with the definition of the term set forth

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

Nov-18-2009

Received By:

(b)(6), (b)(7)(c)

Date:

Title:

Nov-18-2009



Inspection Report

in 9 CFR part 1, Sec. 1.1 of this subchapter, unless a deviation is justified for scientific reasons, in writing, by the investigator.....

**Section III.2.E of Protocol BF2008-203 refers to the method of euthanasia "as per policies on humane care of animals (summarized in Section II.B.10)". Section III.2.G.6 (Humane Care of Animals) refers to "an animal dies or is euthanized during the study terminal procedures will be completed as described in Section II.E.1. Although the protocol refers to Sections II.B.10 and II.E.1 regarding the procedures utilized to humanely euthanize the animals, no Section II. B or E was found in the updated protocol. The protocol must be reviewed and updated to accurately reflect the method(s) of euthanasia as set forth in this section.

2.32 (b)

PERSONNEL QUALIFICATIONS.

(b) Training and instruction shall be made available, and the qualifications of personnel reviewed, with sufficient frequency to fulfill the research facility's responsibilities under this section and Sec. 2.31.....

**Protocol 2008-203 had not been updated to reflect the addition of the Animal Care Facility Manager who has direct responsibility for the animals and performs the procedures. There was no record of the Facility Manager having been trained to perform these procedures. It is the responsibility of the IACUC to ensure that training and instruction is provided to personnel with sufficient frequency to ensure the health and well-being of the animals.

Correction: From this date forward

2.35 (f)

RECORDKEEPING REQUIREMENTS.

(f) All records shall be available for inspection and copying by authorized APHIS or funding Federal agency representatives at reasonable times.....

**The IACUC minutes had not been updated to reflect the most recent July 21, 2009 meeting. It is the responsibility of the IACUC to maintain and make available for inspection the records for review as set forth in this section.

Correction: From this date forward

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

Nov-18-2009

Received By:

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Date:

Title:

Nov-18-2009



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900 WOOD ROAD

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Type: ROUTINE INSPECTION

Date: May-11-2009

2.31 (d) (1) (ii)

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(d) IACUC review of activities involving animals. (1) In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; Provided, however, That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements:.....

.....(ii) The principal investigator has considered alternatives to procedures that may cause more than momentary or slight pain or distress to the animals, and has provided a written narrative description of the methods and sources, e. g., the Animal Welfare Information Center, used to determine that alternatives were not available;.....

**The amendment to Protocol BF2008-201 does not reflect an alternative search has been conducted. It is the responsibility of the IACUC to ensure that the principal investigator has considered alternatives to procedures with the potential for causing pain and/or distress to the animals as set forth in this section.

Correct by June 1, 2009

2.31 (d) (1) (xi)

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(d) IACUC review of activities involving animals. (1) In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; Provided, however, That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements:.....

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

May-11-2009

Received By:

(b)(6), (b)(7)(c)

Date:

Title:

May-11-2009



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.....(xi) Methods of euthanasia used must be in accordance with the definition of the term set forth in 9 CFR part 1, Sec. 1.1 of this subchapter, unless a deviation is justified for scientific reasons, in writing, by the investigator.....

**The amendment to Protocol BF2008-201 had not been updated to reflect the euthanasia methods to be utilized for the guinea pigs. The protocol must be reviewed and updated to reflect the method of euthanasia for the particular species being used as set forth in this section.

Correct by June 1, 2009

2.31 (e) (2)

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(e) A proposal to conduct an activity involving animals, or to make a significant change in an ongoing activity involving animals, must contain the following:.....

.....(2) A rationale for involving animals, and for the appropriateness of the species and numbers of animals to be used;.....

**The amendment to Protocol BF 2008-201 involves changing from using rats to guinea pigs, however, the rationale for this change is not reflected. It is the responsibility of the IACUC to ensure the principal investigator has provided a rationale for the utilization of the species named in the protocol as set forth in this section.

Correct by June 1, 2009

2.40 (a) (1)

ATTENDING VETERINARIAN AND ADEQUATE VETERINARY CARE (DEALERS AND EXHIBITORS).

(a) Each dealer or exhibitor shall have an attending veterinarian who shall provide adequate veterinary care to its animals in compliance with this section.

(1) Each dealer and exhibitor shall employ an attending veterinarian under formal arrangements. In the case of a part-time attending veterinarian or consultant arrangements, the formal arrangements shall include a written program of veterinary care and regularly scheduled visits to the premises of the dealer or exhibitor;.....

**The program of veterinary care had not been updated. The attending veterinarian should review the written program of veterinary care on a regular basis in order to promote the health and well-being of the animals as set forth in this section.

Correct by June 1, 2009

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

May-11-2009

Received By:

(b)(6), (b)(7)(c)

Date:

Title:

May-11-2009



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Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Title: VETERINARY MEDICAL OFFICER Inspector 1062

Date:

May-11-2009

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UW-PARKSIDE CAMPUS

DEPARTMENT OF BIOLOGICAL SCIENCES

P. O. BOX 2000

KENOSHA, WI 53141

Type: ROUTINE INSPECTION

Date: May-11-2009

2.31 (d) (1) (ii)

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(d) IACUC review of activities involving animals. (1) In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; Provided, however, That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements:.....

.....(ii) The principal investigator has considered alternatives to procedures that may cause more than momentary or slight pain or distress to the animals, and has provided a written narrative description of the methods and sources, e. g., the Animal Welfare Information Center, used to determine that alternatives were not available;.....

.....**The amendment to Protocol BF2008-201 does not reflect an alternative search has been conducted. It is the responsibility of the IACUC to ensure that the principal investigator has considered alternatives to procedures with the potential for causing pain and/or distress to the animals as set forth in this section.

Correct by June 1, 2009

2.31 (d) (1) (xi)

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(d) IACUC review of activities involving animals. (1) In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

Jun-29-2009

Received By:

(b)(6), (b)(7)(c)

Date:

Title:

Jun-29-2009



Inspection Report

unless

acceptable justification for a departure is presented in writing; Provided, however, That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements:.....

.....(xi) Methods of euthanasia used must be in accordance with the definition of the term set forth in 9 CFR part

1, Sec. 1.1 of this subchapter, unless a deviation is justified for scientific reasons, in writing, by the investigator.....

**The amendment to Protocol BF2008-201 had not been updated to reflect the euthanasia methods to be utilized for the guinea pigs. The protocol must be reviewed and updated to reflect the method of euthanasia for the particular species being used as set forth in this section.

Correct by June 1, 2009

2.31 (e) (2)

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

animals, must contain the following:.....

.....(2) A rationale for involving animals, and for the appropriateness of the species and numbers of animals to be used;.....

**The amendment to Protocol BF 2008-201 involves changing from using rats to guinea pigs, however, the rationale for this change is not reflected. It is the responsibility of the IACUC to ensure the principal investigator has provided a rationale for the utilization of the species named in the protocol as set forth in this section.

Correct by June 1, 2009

2.33 (a) (1)

ATTENDING VETERINARIAN AND ADEQUATE VETERINARY CARE.

(a) Each research facility shall have an attending veterinarian who shall provide adequate veterinary care to its animals in compliance with this section:

.....(1) Each research facility shall employ an attending veterinarian under formal arrangements. In the case of a part-time attending veterinarian or consultant arrangements, the formal arrangements shall include a written program of veterinary care and regularly scheduled visits to the research facility;.....

**The program of veterinary care had not been updated. The attending veterinarian should review the

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

Jun-29-2009

Received By:

(b)(6), (b)(7)(c)

Date:

Title:

Jun-29-2009



Inspection Report

written
program of veterinary care on a regular basis in order to promote the health and well-being of the animals as set forth
in this section.

Correct by June 1, 2009

THIS IS AN AMENDED REPORT FOR INSPECTION NUMBER 131091030310566 DATED MAY 11, 2009.

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Title: VETERINARY MEDICAL OFFICER Inspector 1062

Date:

Jun-29-2009

Received By:

(b)(6), (b)(7)(c)

Date:

Jun-29-2009

Title:



Inspection Report

UNIVERSITY OF WISCONSIN-PARKSIDE

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UW-PARKSIDE CAMPUS

DEPARTMENT OF BIOLOGICAL SCIENCES

900 WOOD ROAD

P. O. BOX 2000

KENOSHA, WI 53141

Type: ROUTINE INSPECTION

Date: May-11-2009

2.31 (d) (1) (ii)

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(d) IACUC review of activities involving animals. (1) In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; Provided, however, That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements:.....

.....(ii) The principal investigator has considered alternatives to procedures that may cause more than momentary or slight pain or distress to the animals, and has provided a written narrative description of the methods and sources, e. g., the Animal Welfare Information Center, used to determine that alternatives were not available;.....

**The amendment to Protocol BF2008-201 does not reflect an alternative search has been conducted. It is the responsibility of the IACUC to ensure that the principal investigator has considered alternatives to procedures with the potential for causing pain and/or distress to the animals as set forth in this section.

Correct by June 1, 2009

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

May-11-2009

Received By:

(b)(6), (b)(7)(c)

Date:

Title:

May-11-2009



Inspection Report

2.31 (d) (1) (xi)

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(d) IACUC review of activities involving animals. (1) In order to approve proposed activities or proposed significant changes in ongoing activities, the IACUC shall conduct a review of those components of the activities related to the care and use of animals and determine that the proposed activities are in accordance with this subchapter unless acceptable justification for a departure is presented in writing; Provided, however, That field studies as defined in part 1 of this subchapter are exempt from this requirement. Further, the IACUC shall determine that the proposed activities or significant changes in ongoing activities meet the following requirements:.....

**.....(xi) Methods of euthanasia used must be in accordance with the definition of the term set forth in 9 CFR part 1, Sec. 1.1 of this subchapter, unless a deviation is justified for scientific reasons, in writing, by the investigator.....

**The amendment to Protocol BF2008-201 had not been updated to reflect the euthanasia methods to be utilized for the guinea pigs. The protocol must be reviewed and updated to reflect the method of euthanasia for the particular species being used as set forth in this section.

Correct by June 1, 2009

2.31 (e) (2)

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

(e) A proposal to conduct an activity involving animals, or to make a significant change in an ongoing activity involving animals, must contain the following:.....

.....(2) A rationale for involving animals, and for the appropriateness of the species and numbers of animals to be used;.....

**The amendment to Protocol BF 2008-201 involves changing from using rats to guinea pigs, however, the rationale for this change is not reflected. It is the responsibility of the IACUC to ensure the principal investigator has provided a rationale for the utilization of the species named in the protocol as set forth in this section.

Correct by June 1, 2009

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

May-11-2009

Received By:

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Inspection Report

2.40 (a) (1)

ATTENDING VETERINARIAN AND ADEQUATE VETERINARY CARE (DEALERS AND EXHIBITORS).

(a) Each dealer or exhibitor shall have an attending veterinarian who shall provide adequate veterinary care to its animals in compliance with this section.

(1) Each dealer and exhibitor shall employ an attending veterinarian under formal arrangements. In the case of a part-time attending veterinarian or consultant arrangements, the formal arrangements shall include a written program of veterinary care and regularly scheduled visits to the premises of the dealer or exhibitor;.....

**The program of veterinary care had not been updated. The attending veterinarian should review the written program of veterinary care on a regular basis in order to promote the health and well-being of the animals as set forth in this section.

Correct by June 1, 2009

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

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Customer ID: **613**

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DEPARTMENT OF BIOLOGICAL SCIENCES

P. O. BOX 2000

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Type: ROUTINE INSPECTION

Date: Jun-10-2008

No non-compliant items identified during this inspection.

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Title: VETERINARY MEDICAL OFFICER Inspector 1062

Date:

Jun-10-2008

Received By:

(b)(6), (b)(7)(c)

Date:

Jun-10-2008

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900 WOOD ROAD

Site: 001

P. O. BOX 2000

UW-PARKSIDE CAMPUS

KENOSHA, WI 53141

Type: ATTEMPTED INSPECTION

Date: May-06-2008

(a) Each dealer, exhibitor, intermediate handler, or carrier, shall, during business hours, allow APHIS officials:

(1) To enter its place of business;

(2) To examine records required to be kept by the Act and the regulations in this part;

(3) To make copies of the records;

(4) To inspect and photograph the facilities, property and animals, as the APHIS officials consider necessary to enforce the provisions of the Act, the regulations and the standards in this subchapter; and

(5) To document, by the taking of photographs and other means, conditions and areas of noncompliance.....

**On May 6, 2008 at approximately 1:30 p.m., an unsuccessful attempt was made to conduct an inspection. The inspector knocked on several doors at the facility, however, there was no response. The inspector attempted to contact the representative at the heating/cooling facility, however, no one was available. The licensee should contact the inspector to indicate the hours of availability in order to facilitate an inspection.

Prepared By:

DAWN E BARKSDALE, D V M USDA, APHIS, Animal Care

Date:

Title: VETERINARY MEDICAL OFFICER Inspector 1062

May-06-2008

Received By:

(b)(6), (b)(7)(c)

Date:

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